

Decisions of the Audit Committee

3 November 2016

Members Present:-

Councillor Brian Salinger (Chairman)
Councillor Sury Khatri (Vice-Chairman)

Councillor Geof Cooke
Councillor Kathy Levine
Councillor Arjun Mittra
Councillor Peter Zinkin
Councillor Hugh Rayner

Also in attendance

Richard Harbord (Independent Member)
Geraldine Chadwick (Independent Member)

1. MINUTES OF LAST MEETING

RESOLVED - That the minutes of the meeting held on 28 July 2016 be approved as a correct record.

2. ABSENCE OF MEMBERS (IF ANY)

There were none.

3. DECLARATION OF MEMBERS' DISCLOSABLE PECUNIARY INTERESTS AND NON PECUNIARY INTERESTS (IF ANY)

Councillor Geof Cooke declared a non-pecuniary interest in item 7, Internal Audit Exception and Recommendations Report and Progress report to 30 September 2016, as he is a governor of Summerside Primary School and Chairman of the governing body's Personnel, Finance and Premises Sub-Committee.

4. REPORT OF THE MONITORING OFFICER (IF ANY)

There was none.

5. PUBLIC QUESTION AND COMMENTS (IF ANY)

Details of the questions asked and the published answers were provided with the agenda papers for the meeting. Verbal responses were given to supplementary questions at the meeting.

Public comments were received from Mr Nichlas Dixon (on agenda item 7) and Ms Jasmine Parsons (on agenda items 7 and 8).

6. MEMBERS' ITEMS (IF ANY)

There were none.

7. INTERNAL AUDIT EXCEPTION RECOMMENDATIONS AND PROGRESS REPORT Q2 - 1ST JULY - 30TH SEPTEMBER 2016

The Head of Internal Audit introduced the report. The Committee discussed the report and asked questions to the relevant Director(s), or their representatives on Insurance which received limited assurance, and on the Information Technology Disaster Recovery (ITDR) - Follow up review. The Committee also discussed and asked questions on audit follow up items which received partly implemented and not implemented assessments including Grant Identification, Procurement - Compliance with Contract Procedure Rules, Street Scene Operations Review (Joint Internal Audit & CAFT review), Schemes of Delegation and Customer Support Group (CSG) – Invoicing and Monitoring Arrangements.

With regards to insurance claims, the Deputy s151 officer agreed to provide information to clarify what the council / contractors are liable for with respect to pavement trips where there are maintenance issues.

With regards to ITDR – follow up review, the ICT Director, CSG agreed to provide examples of how Capita has responded to disaster recovery events at other clients.

The Committee recommended that Internal Audit seek to ensure that the design and delivery of the new Depot notes and considers the recommendations made in the Street Scene Operations Review (Joint Internal Audit & CAFT review).

The Head of Internal Audit introduced the Cross Council Assurance Service (CCAS) Annual Report 2016. With regards the benefits of being part of CCAS, at the request of Councillor Geof Cooke the Head of Internal Audit agreed to provide information regarding the benefits to Barnet specifically.

RESOLVED –

- 1. That the Committee note the work completed to date on the Internal Audit Annual Plan 2016-17 and progress against high priority recommendations.**
- 2. That the Committee note the Internal Audit Follow-up Report - IT Disaster Recovery.**
- 3. That the Committee note the Cross Council Assurance Service (CCAS) Annual Report 2016.**

8. CORPORATE ANTI-FRAUD TEAM (CAFT) Q2 PROGRESS REPORT: JULY - SEPTEMBER 2016

The Assurance Assistant Director introduced the report. Following consideration of the report it was:

RESOLVED - That the Committee note the CAFT Progress Report covering the period 1st July 2016 – 30th September 2016

9. AUDIT COMMITTEE WORK PROGRAMME

It was noted that a future Internal Audit Exception Recommendations and Progress report to include Re operational review – phase 2 would include information on the alignment of Re – planning with counter-fraud operations.

The Committee noted the work programme for 2016-17.

10. ANY ITEM(S) THE CHAIRMAN DECIDES ARE URGENT

There were none.

The meeting finished at 9.26 pm